PTO/SB/08a (10-08)

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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(Use as many sheets as necessary)

Sheet 1 of 8

Complete if Known			
Application Number	10/781,506-Conf. #2911		
Filing Date	February 18, 2004		
First Named Inventor	Rafail Zubok		
Art Unit	3733		
Examiner Name	J. L. Cumberledge		
Attorney Docket Number	SPINE 3.0-455 CIP CONT III		

			U.S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> ( if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA*	US-2001/0005796-A1	06-28-2001	Zdeblick et al.	
	AB*	US-2001/0027343-A1	10-04-2001	Keller	
	AC*	US-2002/0017789-A1	02-14-2002	Holmes et al.	
	AD*	US-2002/0035400	03-21-2002	Bryan et al.	
	AE*	US-2002/0082597-A1	06-27-2002	FRASER	
	AF*	US-2002/0082701-A1	06-27-2002	Zdeblick et al.	
	AG*	US-2002/0107571-A1	08-08-2002	Foley	
	AH*	US-2002/0107572-A1	08-08-2002	Foley et al.	
	Al*	US-2002/0123750-A1	09-05-2002	Eisermann et al.	
	AJ*	US-2002/0128715-A1	09-12-2002	Bryan et al.	
	AK*	US-2002/0143399-A1	10-03-2002	Sutcliffe	
	AL*	US-2002/0147450-A1	10-10-2002	LeHuec et al.	
	AM*	US-2002/0169508-A1	11-14-2002	Songer et al.	
-	AN*	US-2002/0193880-A1	12-19-2002	Fraser	
	AO*	US-20020004683	01-10-2002	Michelson	
	AP*	US-2003/0028197-A1	02-06-2003	Hanson et al.	
	AQ*	US-2003/0040796-A1	02-27-2003	Ferree	
	AR*	US-2003/0045939-A1	11-11-2003	Casutt	
	AS*	US-2003/0069586-A1	04-10-2003	Errico et al.	

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F	Cita	Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines,			
Examiner Initials*	Cite No.	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Date MM-DD-YYYY	Applicant of Cited Decument	Where Relevant Passages Or Relevant Figures Appear			
	ВА	FR-2 718 635-A1	10-20-1995	Axcyl Medical		V		
	ВВ	WO-03/084449-A1	10-16-2003	Cool Brace et al.				
	вс	WO-94/04100-A1	03-03-1994	Sofamor et al.				
	BD	WO-01/01893	01-11-2001	Spine Solutions Inc et al.				
	BE	FR-2 730159-A1	08-09-1996	Teule Jean Germain		1		
	BF	FR-2 805 985-A1	09-14-2001	Eurosurgical		V		

Examiner	Date
Signature	Considered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if pacified in attention. possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Sul	Substitute for form 1449/PTO			Complete if Known		
	Jonato 107 1071			Application Number	10/781,506-Conf. #2911	
11	NFORMATION	1 DI	SCLOSURE	Filing Date	February 18, 2004	
S	STATEMENT BY APPLICANT			First Named Inventor	Rafail Zubok	
				Art Unit	3733	
ĺ	(Use as many sheets as necessary)			Examiner Name	J. L. Cumberledge	
Sheet	2	of	8	Attorney Docket Number	SPINE 3.0-455 CIP CONT III	

			U.S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> ( if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AT*	US-2003/0078668-A1	04-24-2003	Michelson	
	AU*	US-2003/0100949-A1	05-29-2003	Michelson	
	AV*	US-2003/0120344-A1	06-26-2003	Michelson	
	AW*	US-2003/0135278-A1	07-17-2003	Eckman	
	AX*	US-2003/0167091-A1	09-04-2003	Scharf	
	AY*	US-2003/0167092-A1	09-04-2003	Foley	
	AZ*	US-2003/0176921-A1	09-18-2003	Lawson	
	AA1*	US-2003/0176922-A1	09-18-2003	Lawson et al.	
	AB1*	US-2003/0181982-A1	09-25-2003	Kuslich	
	AC1*	US-2003/0191534-A1	10-09-2003	Viart et al.	
	AD1*	US-2003/0195632-A1	10-16-2003	Foley et al.	
	AE1*	US-2003/0199981-A1	10-23-2003	Ferree	
	AF1*	US-2003/0204260-A1	10-30-2003	Ferree	
	AG1*	US-2003/0208274-A1	11-06-2003	Davis	
	AH1*	US-2003/0216810-A1	11-20-2003	Ralph et al.	
	Al1*	US-2003/0229358-A1	12-11-2003	Errico et al.	·
	AJ1*	US-2003/0229397-A1	12-11-2003	Davis	
	AK1*	US-2003/0233148-A1	12-18-2003	Ferree	
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Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,	
Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
	BG	FR-2 824 261-A1	11-08-2002	Ldr Medical		1
	вн	WO-91/13598	09-19-1991	Jbs Sa		
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Examiner	Date	
Signature	Considered	

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IN	<b>IFORMATIO</b>	N DI	SCLOSURE	Filing Date	February 18, 2004	
S	STATEMENT BY APPLICANT			First Named Inventor	Rafail Zubok	
				Art Unit	3733	
	(Use as many sheets as necessary)			Examiner Name	J. L. Cumberledge	
Sheet	3	of	8	Attorney Docket Number	SPINE 3.0-455 CIP CONT III	

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	AM1*	US-2004/0002761-A1	01-01-2004	Rogers et al.	
	AN1*	US-2004/0002762-A1	01-01-2004	Hawkins	
	AO1*	US-2004/0006343-A1	01-08-2004	Sevrain	
	AP1*	US-2004/0010254-A1	01-15-2004	Cook et al.	
	AQ1*	US-2004/0024459-A1	02-05-2004	Ferree	
	AR1*	US-2004/0024461-A1	02-05-2004	Ferree	
	AS1*	US-2004/0030389-A1	02-12-2004	Ferree	
	AT1*	US-2004/0030390-A1	02-12-2004	Ferree	
	AU1*	US-2004/0030391-A1	02-12-2004	Ferree	
	AV1*	US-2004/0034426-A1	02-19-2004	Errico et al.	
	AW1*	US-2004/0117022	06-17-2004	Marnay et al.	
	AX1*	US-2004/0138750-A1	07-15-2004	Mitchell	
	AY1*	US-2004/0143332-A1	07-22-2004	Krueger et al.	
	AZ1*	US-2004/0148028	07-29-2004	Ferree et al.	
	AA2*	US-2004/0215198-A1	10-28-2004	Marnay et al.	
	AB2*	US-2004/0220567-A1	11-04-2004	Eisermann et al.	
	AC2*	US-20040133281-A1	07-08-2004	Khandkar et al.	
	AD2*	US-20040176774	09-09-2004	Zubok et al.	
	AE2*	US-20040176777	09-09-2004	Zubok et al.	

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Substitute for form 1449/PTO				Complete if Known		
Oubsin	1011011111111111			Application Number	10/781,506-Conf. #2911	
INF	ORMATI	ON D	SCLOSURE	Filing Date	February 18, 2004	
STATEMENT BY APPLICANT			APPLICANT	First Named Inventor	Rafail Zubok	
				Art Unit	3733	
(Use as many sheets as necessary)			s necessary)	Examiner Name	J. L. Cumberledge	
heet	4	of	8	Attorney Docket Number	SPINE 3.0-455 CIP CONT III	

	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> ( if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	AF2*	US-20040176843	09-09-2004	Zubok et al.			
	AG2*	US-4,759,766	07-26-1988	BUETTNER-JANZ ET AL.			
	AH2*	US-4,759,769	07-26-1988	Hedman et al.			
	Al2*	US-4,917,704	04-17-1990	Frey et al.			
	AJ2*	US-4,955,908	09-11-1990	Frey et al.			
	AK2*	US-5,002,576	03-26-1991	Fuhrmann et al.			
	AL2*	US-5,246,458	09-21-1993	Graham			
	AM2*	US-5,258,031	11-02-1993	Salib et al.			
	AN2*	US-5,370,697	12-06-1994	Baumgartner et al.			
	AO2*	US-5,401,269	03-28-1995	Buttner-Janz et al.			
_	AP2*	US-5,425,773	06-20-1995	Boyd et al.			
	AQ2*	US-5,458,641	10-17-1995	Ramirez Jimenez et al.			
	AR2*	US-5,507,816	04-16-1996	Bullivant			
	AS2*	US-5,514,180	05-07-1996	Heggeness et al.			
	AT2*	US-5,534,029	07-09-1996	Shima			
	AU2*	US-5,549,690	08-27-1996	Hollister et al.			
	AV2*	US-5,556,431	09-17-1996	Buttner-Janz et al.			
	AW2*	US-5,562,738	10-08-1996	Boyd et al.			
	AX2*	US-5,674,296	10-07-1997	Bryan et al.			

	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>			
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Complete if Known Substitute for form 1449/PTO 10/781,506-Conf. #2911 Application Number INFORMATION DISCLOSURE February 18, 2004 Filing Date STATEMENT BY APPLICANT Rafail Zubok First Named Inventor Art Unit 3733 (Use as many sheets as necessary) J. L. Cumberledge Examiner Name SPINE 3.0-455 CIP CONT III of 8 Attorney Docket Number Sheet 5

			U.S. PA	TENT DOCUMENTS	
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	AY2*	US-5,683,465	11-04-1997	Shinn et al.	
	AZ2*	US-5,755,796	05-26-1998	Ibo et al.	
	AA3*	US-5,782,832	07-21-1998	Larsen et al.	
	AB3*	US-5,865,846	02-02-1999	Bryan et al.	
	AC3*	US-5,888,223	03-30-1999	Bray, Jr.	
	AD3*	US-5,888,226	03-30-1999	Rogozinski	
•	AE3*	US-5,893,889	04-13-1999	Harrington	
	AF3*	US-5,895,428	04-20-1999	Berry	
	AG3*	US-5,899,941	05-04-1999	Nishijima et al.	
	AH3*	US-5,916,267	06-29-1999	Tienboon et al.	
	AI3*	US-5,928,284	07-27-1999	Mehdizadeh	
	AJ3*	US-5,989,291	11-23-1999	Ralph et al.	
	AK3*	US-6,019,792	02-01-2000	Cauthen	
	AL3*	US-6,039,763	03-21-2000	Shelokov	
	AM3*	US-6,051,751	04-18-2000	Sioshansi et al.	
	AN3*	US-6,063,121	05-16-2000	Xavier et al.	·
	AO3*	US-6,066,175	05-23-2000	Henderson et al.	
	AP3*	US-6,093,205	07-25-2000	McLeod et al.	
	AQ3*	US-6,146,421	11-14-2000	Gordon et al.	

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002	sound to form 1440/110			Application Number	10/781,506-Conf. #2911	
IN	NFORMATION	I DI	SCLOSURE	Filing Date	February 18, 2004	
S	TATEMENT I	3Y /	APPLICANT	First Named Inventor	Rafail Zubok	
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	AR3*	US-6,156,067	12-05-2000	Bryan et al.	_
	AS3*	US-6,190,413	02-20-2001	Sutcliffe et al.	
	AT3*	US-6,214,005-B1	04-10-2001	Benzel et al.	
	AU3*	US-6,228,118	05-08-2001	Gordon	
	AV3*	US-6,235,059	05-22-2001	Benezech et al.	
	AW3*	US-6,342,057	01-29-2002	Brace et al.	
	AX3*	US-6,368,350	04-09-2002	Erickson et al.	
	AY3*	US-6,395,030-B1	05-28-2002	Songer et al.	
	AZ3*	US-6,395,032-B1	05-28-2002	Gauchet	
	AA4*	US-6,416,551-B1	07-09-2002	Keller	
	AB4*	US-6,432,106-B1	08-13-2002	Fraser	
	AC4*	US-6,440,168	08-27-2002	Cauthen	
	AD4*	US-6,468,310	10-22-2002	Ralph et al.	
	AE4*	US-6,471,725	10-29-2002	Ralph et al.	
	AF4*	US-6,517,580-B1	02-11-2003	Ramadan et al.	
	AG4*	US-6,527,320-B1	03-04-2003	Gregg	
	AH4*	US-6,527,804-B1	03-04-2003	Gauchet et al.	
	Al4*	US-6,562,073-B2	05-13-2003	Foley	
	AJ4*	US-6,576,017-B2	06-10-2003	Foley et al.	

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				Application Number	10/781,506-Conf. #2911	
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	(Use as many sh	eets as	necessary)	Examiner Name	J. L. Cumberledge	
Sheet	8	of	8	Attorney Docket Number	SPINE 3.0-455 CIP CONT III	

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